



APPLICATION FOR RECORDS RETENTION SCHEDULE

OFFICE OF THE SECRETARY OF STATE
DEPARTMENT OF ARCHIVES AND HISTORY
RECORDS MANAGEMENT DIVISION

INSTRUCTIONS: See Publication No. 76-RM-1 for instructions on completing this form. Forward signed original to Department of Archives and History, Records Management Division, 330 Capitol Avenue, Atlanta, Georgia, 30334, Attention: Scheduling Section.

FOR AGENCY USE		FOR RECORDS MANAGEMENT USE	
Application Date	1. Agency Address Office of the Comptroller General Insurance Department Agents Licensing Division 132 State Capitol Atlanta, Georgia 30334	Application Number 83-69	
Application Number		Date Received FEB 11 1983	Date Completed MAR 2 1983
2. Person to Contact Angela R. Watkins		Working Title Principal Clerk	Telephone Number 656-2100
3. Action Requested a. <input checked="" type="checkbox"/> Establish Retention Schedule; record will continue to accumulate. b. <input type="checkbox"/> Dispose of present accumulation; no further accumulation anticipated. c. <input type="checkbox"/> Amend Application No. _____ Check One: <input type="checkbox"/> Change; <input type="checkbox"/> Supersede; <input type="checkbox"/> Void			
4. Dates of Series Earliest Latest 7-1-81 Present		5. Records Series Title (followed by title used in office, if different) Insurance Agents & Insurance Companies Refunds	
6. Division and Office Function What is the function of the Division and the Office in which this record series is created? The Agents License Division is responsible for processing applications and issuing new agents' licenses and renewing permanent licenses; examining agents, conducting pre-hearing conferences; and for preparing certificates for agents being licensed as non-residents in other states and accounting for all Agents Licensing and Certificates of Authority fees.			
7. Record Series Description This file contains the following documents (include form numbers and titles, if any): Attach samples of the file. Documents relating to: Refunds to Insurance agents or companies for first time applications and renewal applications; refunds to Insurance Companies for agents they are appointing for the first time with their company. Included are: Returned G. I. D. 101's, G.I.D. 122's, G.I.D. 103's, G.I.D. 104's, AL-2's, Office of the Comptroller General Sendback letter's, Dated Correspondence,			
File is arranged: In Alphabetical Order per Fiscal Year.			
8. Monthly Reference Rate How often are records referred to which are: One to six months old <u>6</u> ; Seven to twelve months old <u>6</u> ; Thirteen to twenty-four months old <u>3</u> ; twenty-five months and older <u>1</u> ?			
9. Annual Rate of Accumulation of Records Letter-size drawers _____; Legal-size drawers _____; Shelves _____; Other (specify) <u>2 Cubic Feet.</u>			

YES	NO	10. Questionnaire (Place an "X" in the proper column)
X		a. Is this the official copy of the series? If not, where is it?
	X	b. Does the series contain confidential information requiring security handling? If yes, cite law or regulation.
	X	c. Is this a vital record?
	X	d. Does this series have historical or long term research value?
	X	e. When one or two documents in the file make it necessary to keep the entire file for a long period, could these documents be scheduled separately?
	X	f. Is the information contained in this series ever published? If yes, attach copy.
	X	g. Is the information contained in this series ever analyzed and/or recorded in a summarized report? If yes, attach copy.
	X	h. Is there a duplication of this series in your office, or in another office or agency? If yes, where?
	X	i. Is this series (or a major portion of it) regularly microfilmed?
	X	j. Does the record series result in a computer printout?

11. Retention Requirements

The following requires the series to be kept:

a. State Law	_____ years.	d. Audit period	_____ ¹ years.
b. Statute of limitation	_____ years.	e. Administrative need	_____ ⁴ years.
c. Federal law	_____ years.	f. Federal retention instructions	_____ years.

Attach copy or excerpt of laws or regulations. Explain administrative need.

Reference requirements in event of court suit

12. Approved Disposition Instructions

This agency recommends that the file series be cut off at the end of each:

☐ Calendar Year; ☒ Fiscal Year; ☐ Other _____ then,

- ☒ Hold in the current files area _____ month(s) 1 year(s); then or until State Audit is Completed
- ☐ Transfer to local holding area, hold _____ year(s); then
- ☒ Transfer to State Records Center; hold 3 year(s); then
- ☒ Destroy.
- ☐ Transfer to State Archives for permanent retention.
- ☐ Other (Specify)

These instructions apply to all prior and future accumulations of the series.

Agency Head/Designee (Signature)	Date	Records Management Officer (Signature)	Date
<i>Jerry Halbrook</i>	<i>2-4-83</i>	<i>Fred Anderson</i>	<i>2/10/83</i>
State Records Committee (Signature)			
Date			
Recommendations in paragraph 12 are approved. (If disapproved, attach letter of explanation.)	State Auditor/Designee	<i>Norm A. Smith</i>	<i>2-25-83</i>
	Secretary of State/Designee	<i>Edward Wilson</i>	<i>2/24/83</i>
	Attorney General/Designee	<i>Samuel Sheppard</i>	<i>3-1-83</i>